

## **Business Continuity Statement Cornerstone Financial, LLC.**

Cornerstone Financial, LLC is required to create and maintain a business continuity plan to handle any Significant Business Disruption.

CF has established continuity and disaster recovery plans as well as our broker dealer and the clearing firm. CF and its affiliated companies consider continuity planning to be an iterative process, requiring ongoing review to assess various risks and appropriate responses. As a result, this plan may change, as necessary.

### **CF Continuity Planning Guidelines**

CF has developed plans that include the ability to recover from situations including, but not limited to, unplanned evacuations, power outages, major water leaks, fire, loss of water, severe weather, and any facilities failures that may cause business interruptions. The Plan is designed to account for business interruptions of various lengths and scopes and requires that CF is able to recover critical functions according to their time criticality. Key features of CF's disaster recovery planning include annual reviews of the following:

- Identification of mission-critical systems (and system backup and recovery for such systems)
- A review of operations risks
- Alternate communications between CF and the broker dealer
- Employee communications
- Systems and telecommunications accessibility
- Alternate physical site location and preparedness
- Alternate communication to customers

### **CF Continuity Planning and Business Recovery**

CF mitigates risks to reduce potential issues and impact. In the event of an outage, CF has tested plans to support recovery of its critical business functions. In the event that we have to execute our Business Continuity Plan due to a Significant Business Disruption, we will move to our designated location, change our voicemail message to notify everyone calling in that there is a disruption of service and the timeframe for restoring the service as well as updating our website [www.corstonefinancial.com](http://www.corstonefinancial.com) with this information. If applicable, we will forward our phones to our designated location and continue business from this designated location.

If you have any questions, please contact us.